

Tax related information form



Corporate Cash Manager: Individual self-certification

FOR INTERMEDIARY USE ONLY: Account number*

Please ensure that you read this form carefully and that you understand it before signing it. Where you are not familiar with your tax status (including countries where you have tax obligations) it is recommended that you consult a professional tax adviser.

(*) All mandatory fields need to be completed

Account holder details

| | | | | | |
|------------------------------|----------------------|-----------|----------------------|------------------------|----------------------|
| Title* | <input type="text"/> | Initials* | <input type="text"/> | First name(s)* | <input type="text"/> |
| Surname* | <input type="text"/> | | | RSA ID number* | <input type="text"/> |
| Passport number (if foreign) | <input type="text"/> | | | Passport expiry | <input type="text"/> |
| Passport country of issue | <input type="text"/> | | | Date of birth* | <input type="text"/> |
| Country of birth* | <input type="text"/> | | | Birth town* | <input type="text"/> |
| Home number | <input type="text"/> | | | Country of home number | <input type="text"/> |
| Cell number | <input type="text"/> | | | Country of cell number | <input type="text"/> |
| Work number | <input type="text"/> | | | Country of work number | <input type="text"/> |
| Fax number | <input type="text"/> | | | Country of fax number | <input type="text"/> |

Are you a South African citizen?* Yes ☐ No ☐

Are you a US citizen?* Yes ☐ No ☐

Are you a US Person?* Yes ☐ No ☐

U.S. person: The term 'US person' means a United States (US) citizen or resident individual, a partnership or corporation organised in the US or under the laws of the US or any State thereof, a trust if (i) a court within the US would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more US persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the US. The term must be interpreted according to the US Internal Revenue Code.

U.S. citizen: You are a U.S. citizen if you have a birth certificate issued by a U.S. state. You were born in a U.S. territory and have a birth certificate issued by that territory.

Additional passport and/or citizenship information

| Citizenship | Passport number | Passport country of issue | Passport expiry date |
|----------------------|----------------------|---------------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Tax obligations, residency, reporting and/or filing requirements (tax country)

South Africa

Tax Identification Number (TIN)*

Comment if TIN not applicable



Foreign tax country(ies)

Please indicate below whether you have tax residency, obligations, reporting and/or filing requirements in any foreign country and where relevant the associated tax reference or identification number(s).

If you have completed any other country besides South Africa on the first page, please complete your tax information in this section.

| Foreign tax country(ies) | Tax reference/Tax Identification Number (TIN) | Comment if TIN not applicable |
|--------------------------|---|-------------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

If you **do not** have a tax country at all, please explain below*

Sharing of information

As a new or existing Investec client you can choose to authorise Investec to share your existing personal and financial details that Investec has on file with any entity within the Investec Group (Investec Ltd, Investec plc and any of their subsidiaries) to:

- expedite account opening
- facilitate the maintenance of or opening of further accounts you have requested with other entities in the Investec Group
- assist the Investec Group to provide information you request regarding products and services
- manage the additional benefits of your account
- make decisions regarding the extension of credit you have requested
- keep your usual contact at Investec informed of the progress of your application for new accounts elsewhere in the Investec Group and vice versa
- have a better understanding of your circumstances to provide and improve the products and services Investec offers you

And, in respect of the Investec Group and/or any third party to:

- detect or prevent fraud or any other unlawful activity perpetrated against any entity within the Investec Group or any other party
- comply with any law, regulation, code or treaty

By signing below you acknowledge and consent that Investec may share your personal and financial information across the Investec Group and with any third party for these purposes. For information on how we process personal information, please refer to Investec Ltd's data protection statement at www.investec.co.za/legal.html

Declaration and undertakings

- The applicant warrants that all information provided to Investec in this self-certification is current, true, accurate and complete in every aspect and undertakes to notify Investec of any changes to information provided whether in terms of this certification or otherwise
- The applicant understands that the account may not be opened unless a valid self certification form is provided. The applicant understands that Investec is required to report to SARS on account holders who are US citizens or residents or who have tax residency outside of South Africa. In addition, where a person fails to provide tax related information or where the self-certification provided by the account holder is incomplete or incorrect, Investec may be required to report the account holder to SARS.
- Confirm that he/she agrees to Investec Ltd's **Data Protection Statement** which can be accessed at www.investec.co.za/legal.html which statement details how the applicant's data is to be processed.

Signed at* on*

Signature of applicant*

Assisted by (to be completed if client is a minor or under legal disability)

Full name

Capacity

Signature